



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Edward E. Williams

Patent Number: 7,181,993 B2

Issued: February 27, 2007

For: APPARATUS AND PROCESS FOR  
CUTTING OF EXTRUDED MATERIAL

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Serial Number: 09/777,735

Filed: February 6, 2001

Mail Stop: Post Issuance  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

CERTIFICATE OF MAILING

I hereby certify that the original paper identified herein is being deposited with United States Postal Service as first class mail, postage prepaid, to Mail Stop: Post Issuance, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

on:

APRIL 8, 2008

Karen Roper

Karen Roper

**REQUEST FOR CORRECTION OF INVENTORSHIP**  
**UNDER 37 C.F.R. § 1.324**

Dear Sir:

Applicant requests that the inventorship in the above-identified patent be corrected to add Alan Eugene Kirkland as a co-inventor.

Applicant submits the following documents in support of this Request:

- 1) Statement of Edward E. Williams in Support of Request for Correction of Inventorship;
- 2) Statement of Assignee in Support of Request for Correction of Inventorship, including copies of recorded Assignment of Edward E. Williams and Assignment to be submitted for recordation of Alan Eugene Kirkland;
- 3) Statement of Alan Eugene Kirkland in Support of Request for Correction of Inventorship; and

04/11/2008 NHGUYEN2 00000075 7181993

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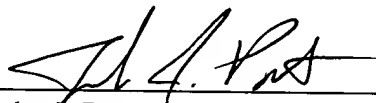
- 4) Form PTO/SB/44 for a request for Certificate of Correction.

Applicant submits the required processing fee in the amount of \$130.00. In the event that any other fees are due, the Commissioner is hereby authorized to charge any required fees due (other than issue fees), and to credit any overpayment made, in connection with the filing of this paper to Deposit Account 50-2180 of Storm LLP.

Should the Examiner require any further clarification regarding this Request and the accompanying documents, the Examiner is invited to telephone the undersigned at the number listed below.

Respectfully submitted,

Dated: April 8, 2008

  
\_\_\_\_\_  
John J. Patti  
Reg. No. 57,191

Storm LLP  
901 Main Street  
Suite 7100  
Dallas, Texas 75202  
Telephone: (214) 347-4710  
Fax: (214) 347-4799



UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Edward E. Williams

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on: \_\_\_\_\_

*APRIL 8, 2008*

*Karen Roper*

Karen Roper

**STATEMENT OF EDWARD E. WILLIAMS IN SUPPORT OF**  
**REQUEST FOR CORRECTION OF INVENTORSHIP**  
**UNDER 37 C.F.R. § 1.324**

Dear Sir:

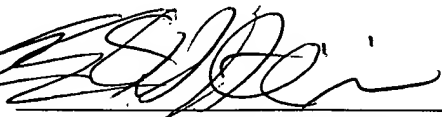
I, Edward E. Williams, hereby make the following statements:

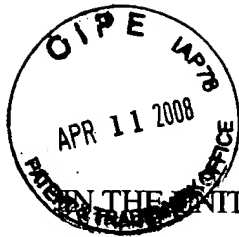
1) I do not object to the correction of inventorship by adding Alan Eugene Kirkland as a co-inventor of the above-identified patent.

2) I believe the omission of Alan Eugene Kirkland as a co-inventor was not intentional and that such error arose without any deceptive intention on my part, on the part of Mr. Kirkland or the Assignee of record.

3) An Assignment of my rights in the invention of the above patent was assigned to Good Earth Tools, Inc. on January 17, 2006, at Reel/Frames 017488/0017 *et seq.* (see Statement of Assignee).

Date: 3/10, 2008

Signed:   
Edward E. Williams



UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Edward E. Williams

Patent Number: 7,181,993 B2

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on:

APRIL 8, 2008

Karen Roper

Karen Roper

**STATEMENT OF ASSIGNEE IN SUPPORT OF  
REQUEST FOR CORRECTION OF INVENTORSHIP  
UNDER 37 C.F.R. § 1.324**

Dear Sir:

I, Kip Williams, on behalf of the Assignee, Good Earth Tools, Inc., hereby make the following statements:

1) Assignee does not object to the correction of inventorship by adding Alan Eugene Kirkland as a co-inventor of the above-identified patent.


2) Assignee believes the omission of Alan Eugene Kirkland as a co-inventor was not intentional and that such error arose without any deceptive intention by Assignee, Mr. Kirkland, or Edward E. Williams.

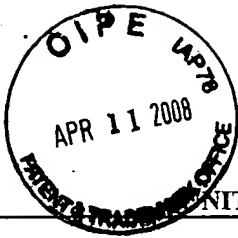
3) The rights of the above patent were assigned by Edward E. Williams to Assignee in an Assignment recorded on January 17, 2006, at Reel/Frames 017488/0017 *et seq.* (copy of recorded Assignment attached).

4) An Assignment of the rights of the above patent from Alan Eugene Kirkland to Good Earth Tools, Inc. is concurrently being submitted for recordation (copy attached).

GOOD EARTH TOOLS, INC.

Date: 3/10, 2008

By:  \_\_\_\_\_  
Kip Williams



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 18, 2006

PTAS

STORM LLP  
ATTN: JOHN J. PATTI  
BANK OF AMERICA PLAZA  
901 MAIN STREET, SUITE 7100  
DALLAS, TX 75202



\*103163038A\*

RECEIVED  
APR 27 2006

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 01/17/2006

REEL/FRAME: 017488/0017  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WILLIAMS, EDWARD

DOC DATE: 12/19/2005

ASSIGNEE:

GOOD EARTH TOOLS, INC.  
P.O. BOX 66  
CRYSTAL CITY, MISSOURI 63019

SERIAL NUMBER: 09777735

FILING DATE: 02/06/2001

PATENT NUMBER:

ISSUE DATE:

TITLE: APPARATUS AND PROCESS FOR CUTTING OF EXTRUDED MATERIAL

DOROTHY RILEY, PARALEGAL  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

Docketed by 5/2/06

APR 11 2008

01-20-2006

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office



ET

103163038

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Edward WILLIAMS

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) December 19, 2005

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: Good Earth Tools, Inc.

Internal Address: \_\_\_\_\_

Street Address: P.O. Box 66

City: Crystal City

State: Missouri

Country: USA Zip: 63019

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

09/777,735

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: STORM LLP

Internal Address: Attn: John J. Patti

Street Address: Bank of America Plaza

901 Main Street, Suite 7100

City: Dallas

State: Texas Zip: 75202

Phone Number: 214-347-4710

Fax Number: 214-347-4799

Email Address: johnpatti@alliplaw.com

**6. Total number of applications and patents involved: one (1)**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**

Signature

January 4, 2006

Date

01 19/2695 DEVENE

00000033 01/11/06

John J. Patti

01 EC:6021

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

For: U.S. and Foreign Rights

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNOR:** Edward WILLIAMS

hereby sells, assigns and transfers to

**ASSIGNEE:** Good Earth Tools, Inc.

P.O. Box 66

Crystal City, Missouri 63019

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled: APPARATUS AND PROCESS FOR CUTTING OF EXTRUDED MATERIAL.

with one inventor, Edward WILLIAMS, the application being executed on even date herewith, and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

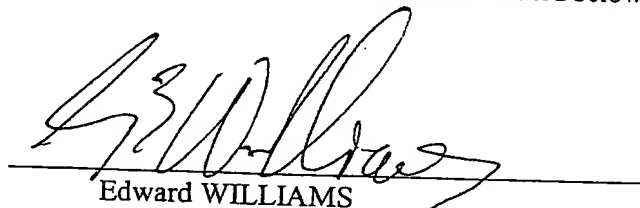
ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, the Assignor has hereunto set his hands on the date indicated below.

Date:

12/15/2005

  
Edward WILLIAMS

For: U.S. Rights and Foreign Rights  
For: U.S. Applications/Patents  
By: Alan Eugene Kirkland

### ASSIGNMENT OF INVENTIONS

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00) each, the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNOR:**

Alan Eugene Kirkland  
1007 Virginia Ave.  
Crystal City, MO 63019  
Nationality: United States

hereby sells, assigns and transfers to

**ASSIGNEE:**

Good Earth Tools, Inc.  
4 Industrial Drive  
P. O. Box 66  
Crystal City, MO 63019

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the following inventions:

Serial No./ Date Filed	Patent No./ Date Issued	Title	Attorney Docket No.
09/777,735 02/06/2001	7,181,993 B2 02/27/2007	Apparatus and Process for Cutting of Extruded Material	GOEA 01803 PTUS
11/122,664 05/05/2005	7,316,557 B2 01/08/2008	Die for Extruding Material	GOEA 02868 PTUS
11/122,707 05/05/2005	Pending	Extruder Screw With Long Wearing Surfaces	GOEA 02869 PTUS

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patents to be obtained for said inventions by the above applications or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said inventions and said Letters Patents and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said applications, said inventions and said Letters Patents and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

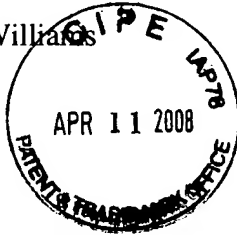
IN WITNESS WHEREOF, ASSIGNOR has hereunto set his hands on the date indicated below.

Date: 3/10/08

  
Signature of Alan Eugene Kirkland

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Edward E. Williams  
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on: APRIL 8, 2008  
Karen Roper  
Karen Roper

**STATEMENT OF ALAN EUGENE KIRKLAND IN SUPPORT OF  
REQUEST FOR CORRECTION OF INVENTORSHIP  
UNDER 37 C.F.R. § 1.324**

Dear Sir:

I, Alan Eugene Kirkland, hereby make the following statements:

- 1) I do not object to the correction of inventorship by adding myself as a co-inventor of the above-identified patent.
- 2) I believe the omission of my name as a co-inventor was not intentional and that such error arose without any deceptive intention on my part, on the part of Edward E. Williams, or the Assignee of record.
- 3) An Assignment of my rights in the invention of the above patent is concurrently being submitted for recordation (see Statement of Assignee).

Dated: 3/10/08, 2008

Signed: Alan E. Kirkland  
Alan Eugene Kirkland